|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Annex IV** | | | | | |
|  |  |  |  |  |  |
| **ESOP Reporting** | | | | | |
|  |  |  |  |  |  |
| **Statement of shares allotted to Indian employees / Directors under ESOP** | | | | | |
| **Schemes for the year ended March \_\_\_\_\_\_\_\_\_\_\_\_\_\_** | | | | | |
| *(to be submitted on the letterhead of the Indian Company / Office / Branch through their AD bank)* | | | | | |
|  |  |  |  |  |  |
| We, ……………………………………….... (Indian Company / Office / Branch), hereby declare that: | | | | | |
| a) | M/s. ……………………………………………..………. (foreign company) has issued ………… shares to our employees / Directors under ESOP Scheme during the year as under: | | | | |
|  | (i) | No. of shares allotted | | : |  |
|  | (ii) | Number of employees / Directors who accepted shares | | : |  |
|  | (iii) | Amount of outward remittance (in FCY and equivalent INR, both) | | : |  |
| b) | The effective holding (direct or indirect) of the foreign company M/s. ………………………………………………..…………… in the Indian company, as on March 31, ………(Year), is ………% and | | | | |
| c) | The information furnished above is true and correct to the best of our knowledge and belief. | | | | |
|  |  |  |  |  |  |
| Signature of the Authorised Official | | | : | | |
| Name | | | : | | |
| Designation | | | : | | |
| Date | | | : | | |
|  |  |  |  |  |  |
| To, | | | | | |
| The Chief General Manager | | | | | |
| Reserve Bank of India | | | | | |
| Foreign Exchange Department | | | | | |
| Overseas Investment Division | | | | | |
| Central Office, Amar Bldg., 5th Floor | | | | | |
| Sir P.M. Road, Fort, Mumbai 400 001 | | | | | |
|  |  |  |  |  |  |
| **ESOP Reporting** | | | | | |
|  |  |  |  |  |  |
| **Statement of shares repurchased by the issuing foreign company** | | | | | |
| **from Indian employees / Directors under ESOP Schemes** | | | | | |
| **for the year ended March 31, …………. (Year)** | | | | | |
| *(to be submitted on the letterhead of the Indian Company / Office / Branch through their AD bank)* | | | | | |
|  |  |  | | | |
| We, ……………………………………….... (Indian Company / Office / Branch), hereby declare that: | | | | | |
| a) | M/s. ……………………………………………… (foreign company) has repurchased ……….. shares, issued to our employees / Director under ESOP Scheme, during the year as under: | | | | |
|  | (i) | Number of shares allotted | | : |  |
|  | (ii) | Number of employees/directors who sold shares | | : |  |
|  | (iii) | Amount Inward remittance (in FCY and equivalent INR, both) | | : |  |
|  |  |  | | | |
| b) | The effective holding (direct or indirect) of the foreign company M/s. ………………………………………………..…………… in the Indian company, as on March 31, ………(Year), is ………% and | | | | |
|  |  |  |  |  |  |
| c) | The information furnished above is true and correct to the best of our knowledge and belief. | | | | |
|  |  |  |  |  |  |
| Signature of the Authorised Official | | | : |  |  |
| Name | | | : |  |  |
| Designation | | | : |  |  |
| Date | | | : |  |  |
|  |  |  |  |  |  |
| To, | | | | | |
| The Chief General Manager | | | | | |
| Reserve Bank of India | | | | | |
| Foreign Exchange Department | | | | | |
| Overseas Investment Division, | | | | | |
| Central Office, Amar Bldg., 5th Floor | | | | | |
| Sir P.M. Road, Fort, Mumbai 400 001 | | | | | |